

## Lecture 10. Last updated 14.04.10

### X. IN VICINITY OF THE SCHWARZSCHILD BLACK HOLE

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#### A. Test particles in the Schwarzschild Metric

Taking into account the spherical symmetry of the Schwarzschild metric we can choose our spherical coordinates in such a way that the plane of orbit coincides with the equatorial plane  $\theta = \pi/2$ . Then the Hamilton–Jacobi equation in the Schwarzschild metric can be written as

$$\left(1 - \frac{r_g}{r}\right)^{-1} \left(\frac{\partial S}{c\partial t}\right)^2 - \left(1 - \frac{r_g}{r}\right) \left(\frac{\partial S}{\partial r}\right)^2 - \frac{1}{r^2} \left(\frac{\partial S}{\partial \phi}\right)^2 - m^2 c^2 = 0. \quad (\text{X.1})$$

Since all coefficients in this equation do not depend on  $t$  and  $\phi$  we can say that

$$\frac{\partial S}{\partial t} = -E, \quad \text{and} \quad \frac{\partial S}{\partial \phi} = L, \quad (\text{X.2})$$

where  $E$  and  $L$  are constants, which by definition are the energy and angular momentum of the particle under consideration. Then putting

$$S = -Et + L\phi + S_r(r) \quad (\text{X.3})$$

into the Hamilton–Jacobi equation we have

$$\left(1 - \frac{r_g}{r}\right)^{-1} \frac{E^2}{c^2} - \left(1 - \frac{r_g}{r}\right) \left(\frac{dS_r(r)}{dr}\right)^2 - \frac{L^2}{r^2} - m^2 c^2 = 0, \quad (\text{X.4})$$

hence,

$$\begin{aligned} \frac{dS_r(r)}{dr} &= \left(1 - \frac{r_g}{r}\right)^{-1/2} \sqrt{\left(1 - \frac{r_g}{r}\right)^{-1} \frac{E^2}{c^2} - \frac{L^2}{r^2} - m^2 c^2} \\ &= \left(1 - \frac{r_g}{r}\right)^{-1} \sqrt{\frac{E^2}{c^2} - \left(1 - \frac{r_g}{r}\right) \left(\frac{L^2}{r^2} + m^2 c^2\right)}. \end{aligned} \quad (\text{X.5})$$

Then the contravariant components of the four-momentum are

$$p^0 \equiv mc \frac{dx^0}{ds} = mc \frac{cdt}{ds} = g^{00} p_0 = \left(1 - \frac{r_g}{r}\right)^{-1} \frac{\partial S}{c\partial t} = -\frac{E}{c} \left(1 - \frac{r_g}{r}\right)^{-1}, \quad (\text{X.6})$$

$$\begin{aligned}
 p^1 &\equiv mc \frac{dx^1}{ds} = mc \frac{dr}{ds} = g^{11} p_1 = - \left(1 - \frac{r_g}{r}\right) \frac{\partial S}{\partial r} = \\
 &= - \left(1 - \frac{r_g}{r}\right)^{1/2} \sqrt{\left(1 - \frac{r_g}{r}\right)^{-1} \frac{E^2}{c^2} - \frac{L^2}{r^2} - m^2 c^2} = - \sqrt{\frac{E^2}{c^2} - \left(1 - \frac{r_g}{r}\right) \left(\frac{L^2}{r^2} + m^2 c^2\right)}, \tag{X.7}
 \end{aligned}$$

$$p^3 \equiv mc \frac{dx^3}{ds} = mc \frac{d\phi}{ds} = g^{33} p_3 = - \frac{1}{r^2} \frac{\partial S}{\partial \phi} = - \frac{L}{r^2}. \tag{X.8}$$

Then we can rewrite above equations as

$$\frac{dt}{ds} = - \frac{E}{mc^3} \left(1 - \frac{r_g}{r}\right)^{-1}, \tag{X.9}$$

$$\frac{dr}{ds} = - \frac{1}{mc^2} \sqrt{E^2 - U_{eff}^2}, \tag{X.10}$$

$$\frac{d\phi}{ds} = - \frac{L}{mcr^2}, \tag{X.11}$$

where

$$U_{eff} = mc^2 \sqrt{\left(1 + \frac{L^2}{m^2 c^2 r^2}\right) \left(1 - \frac{r_g}{r}\right)} \tag{X.12}$$

is called the "effective potential energy". For given radius  $U_{eff}$  is equal to the energy of a particle which has the turn point ( $\frac{dr}{d\phi} = 0$ ), i.e. Apastron or Periastron, for this  $r$ . Indeed

$$\frac{dr}{d\phi} = \frac{mc}{Lr^2} \sqrt{E^2 - U_{eff}^2}, \tag{X.13}$$

hence, if

$$\frac{dr}{d\phi} = 0, \text{ then } U_{eff} = E. \tag{X.14}$$

Thus the condition

$$E > U_{eff} \tag{X.15}$$

determines the admissible range of the motion. The effective potential includes potential energy plus kinetic energy of non-radial motion, in the relativistic manner; this kinetic energy is determined by angular momentum  $L$ .

## B. Stable and Unstable Circular Orbits

The radius of the stable circular orbit is obtained from the simultaneous solution of the equations

$$U_{eff} = E \tag{X.16}$$

and

$$\frac{dU_{eff}}{dr} = 0. \tag{X.17}$$

From Eq.(X.17) we have

$$dU_{eff}^2/du = 0, \quad (X.18)$$

where  $u = 1/r$ . Hence,

$$-r_g \left(1 + \frac{L^2 u^2}{m^2 c^2}\right) + (1 - r_g u) \frac{2L^2 u}{m^2 c^2} = 0, \quad \text{or} \quad r_g r^2 + 3r_g \left(\frac{L}{mc}\right)^2 - 2\left(\frac{L}{mc}\right)^2 r = 0. \quad (X.19)$$

Solving this equation we have

$$r_{\pm} = \frac{L^2}{m^2 c^2 r_g} \pm \sqrt{\left(\frac{L^2}{m^2 c^2 r_g}\right)^2 - \frac{3L^2}{m^2 c^2}} = \frac{L^2}{m^2 c^2 r_g} \left(1 \pm \sqrt{1 - \frac{3r_g^2 m^2 c^2}{L^2}}\right). \quad (X.20)$$

The larger root corresponds to the stable orbit. One can see that

$$1 - \frac{3r_g^2 m^2 c^2}{L^2} > 0. \quad (X.21)$$

Hence,

$$-\sqrt{3}mcr_g \leq L \leq \sqrt{3}mcr_g. \quad (X.22)$$

Substituting

$$L = \sqrt{3}mcr_g \quad (X.23)$$

into equation for the radius of circular orbits (X.20), we have for the radius of the last stable orbit

$$r_{lso} = 3r_g. \quad (X.24)$$

### C. Propagation of light in the Schwarzschild metric

Let me remind you that for photons

$$ds = 0. \quad (X.25)$$

We can introduce some scalar parameter  $\lambda$  varying along world line of the light signal and introduce then a vector

$$k^i = \frac{dx^i}{d\lambda}, \quad (X.26)$$

which is tangent to the world line. This vector is called four- dimensional wave vector. Then

$$ds^2 = g_{ik} dx^i dx^k = g_{ik} k^i k^k d\lambda^2 = 0 \quad (X.27)$$

and we have

$$k_i k^i = g^{ik} k_i k_k = 0. \quad (X.28)$$

Substituting covariant vector

$$k_i = -\frac{\partial\psi}{\partial x^i}, \quad (X.29)$$

where  $\psi$  is a scalar, we obtain the Eikonal Equation in gravitational field

$$g^{ik} \Psi_{,i} \Psi_{,k} = 0. \quad (X.30)$$

The physical meaning of  $\Psi$  (called the Eikonal follows from

$$\Psi = - \int k_i dx^i, \quad (\text{X.31})$$

which looks like the phase of electromagnetic wave. If the Eikonal equation is solved, one can obtain the world line of photon:

$$\frac{dx^i}{d\lambda} \equiv k^i = g^{in} k_n = -g^{in} \Psi_{,n}. \quad (\text{X.32})$$

In the equatorial plane of a Schwarzschild black hole the solution of the Eikonal equation can be written in the form

$$\Psi = -\omega t + \frac{b\omega}{c} \phi + \Phi_r(r), \quad (\text{X.33})$$

where  $\omega$  is the frequency of the photon and  $b$  is its impact parameter. Substituting this expression to the Eikonal equation we obtain

$$\frac{1}{1 - \frac{r_g}{r}} \frac{\omega^2}{c^2} - \frac{1}{r^2} \left( \frac{b\omega}{c} \right)^2 - \left( 1 - \frac{r_g}{r} \right) (-p_1)^2 = 0, \quad (\text{X.34})$$

where

$$p_1 \equiv p_r = -\Psi_{,1} = -\frac{d\Phi_r(r)}{dr} = \pm \sqrt{\frac{1}{1 - \frac{r_g}{r}} \left[ \frac{1}{1 - \frac{r_g}{r}} \frac{\omega^2}{c^2} - \frac{b^2 \omega^2}{c^2 r^2} \right]}. \quad (\text{X.35})$$

One can easily show that photons can move along unstable circular orbits given by

$$U_{eff(ph)} = 1, \quad \text{and} \quad \frac{dU_{eff(ph)}}{dr} = 0, \quad (\text{X.36})$$

where  $U_{eff(ph)}$  plays the role of the effective potential for photons and is given by

$$U_{eff(ph)} = \frac{b^2}{r^2} \left( 1 - \frac{r_g}{r} \right). \quad (\text{X.37})$$